

Table of Fees for Services

Essex Capital Management is a Massachusetts registered investment adviser (LLC) that provides fee-only investment management and financial planning services. Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Essex Capital Management’s advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services						
Assets Under Management Fee (AUM Fee) ¹	<table border="0"> <tr> <td>\$0 - \$1,000,000</td> <td>0.95%</td> </tr> <tr> <td>\$1,000,001-\$2,000,000</td> <td>0.75%</td> </tr> <tr> <td>Over \$2,000,000</td> <td>0.65%</td> </tr> </table>	\$0 - \$1,000,000	0.95%	\$1,000,001-\$2,000,000	0.75%	Over \$2,000,000	0.65%	Quarterly in arrears	Portfolio management & financial planning services for individuals and small businesses
\$0 - \$1,000,000	0.95%								
\$1,000,001-\$2,000,000	0.75%								
Over \$2,000,000	0.65%								
Hourly Fee	\$325	An initial Discovery meeting is complimentary. Financial planning services (upon engagement) require an initial deposit equal to \$500 or half the total estimated project fee, whichever is less. The remaining fee is due upon completion of services.	Financial Planning Services or follow-up meetings for Non-AUM Clients						
Subscription Fee	\$0	n/a	n/a						
Fixed Fee	\$0	n/a	n/a						
Commissions to the Adviser	\$0	n/a	n/a						
Performance-based Fee	\$0	n/a	n/a						
Other -Set Up Fee	\$500	One time set-up fee for new AUM clients	Only applies to new AUM clients						
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services						
Third Party Money Manager	\$0	n/a	n/a						
Robo-Adviser Fee	\$0	n/a	n/a						
Talk with your Adviser about fees and costs applicable to you									

Additional fees and costs to discuss with Essex Capital Management

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees ²	Yes	Shareholders Service Group
Commissions	No	n/a
Custodian Fees	Yes	Shareholders Service Group
Mark-ups	No	n/a
Mutual Fund/ETF Fees and Expenses	Yes	Shareholders Service Group

Notes:

1 The typical minimum account size eligible for Essex Capital Management's Asset Under Management (AUM) services is \$500,000 which translates into a minimum annual fee of \$4,750.

2 Essex Capital Management uses Shareholders Service Group for custodial services.